

Additions underscored
 Deletions [bracketed]

**New York Stock Exchange
 Price List 2023**

Last Updated: [June 1]July 3, 2023

Transaction Fees*

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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Executions at the Close Equity Per Share Charge – per transaction (both sides) –

Closing Offset (“CO”) Orders	No Charge
<p>MOC/LOC Tier 1:</p> <p>For all MOC and LOC orders from any member organization in the prior three billing months executing an ADV⁴ of MOC activity on the NYSE of at least 0.45% of NYSE (Tape A) CADV, ADV⁴ of the member organization’s total close activity (MOC/LOC and other executions at the close) on the NYSE of at least 0.7% of NYSE CADV, and whose MOC activity comprised at least 35% of the member organization’s total close activity (MOC/LOC and other executions at the close).</p>	<p>MOC Orders: \$0.0007</p> <p>LOC Orders: \$0.0007</p>
<p>MOC/LOC Tier 2:</p> <p>For all MOC and LOC orders from any member organization in the prior three billing months executing an ADV⁴ of MOC activity on the NYSE of at least 0.35% of NYSE (Tape A) CADV, ADV⁴ of the member organization’s total close activity (MOC/LOC and other executions at the close) on the NYSE of at least 0.525% of NYSE (Tape A) CADV, and whose MOC activity comprised at least 35% of the member organization’s total close activity (MOC/LOC and other executions at the close).</p>	<p>MOC Orders: \$0.0008</p> <p>LOC Orders: \$0.0008</p>
<p>MOC/LOC Tier 3:</p> <p>For all MOC and LOC orders from any member organization in the current billing month executing an ADV⁴ of MOC activity</p>	<p>MOC Orders: \$0.0009</p> <p>LOC Orders: \$0.0009</p>

<p>on the NYSE of at least 0.20% of NYSE (Tape A) CADV, ADV⁴ of the member organization's total close activity (MOC/LOC and other executions at the close) on the NYSE of at least 0.30% of NYSE (Tape A) CADV, and <u>either</u> whose MOC activity comprised at least 35% of the member organization's total close activity (MOC/LOC and other executions at the close) <u>or executes an ADV of D Order executions at the close of at least 30 million.</u></p>	
<p>Non-Tier MOC/LOC: For all MOC and LOC orders from any member organization in the prior three billing months that do not meet the Tier 1, Tier 2, or Tier 3 requirements above, the following rates shall apply.</p>	<p>MOC Orders: \$0.0010 MOC Orders Executed by a Floor broker: \$0.0005, unless lower tiered fee applies LOC Orders: \$0.0011 MOC and LOC Orders with a Retail Modifier: \$0.0008, unless lower tiered fee applies</p>

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